



STATEMENT OF THE SOURCE OF WEALTH / SOURCE OF FUNDS THAT ARE INVOLVED IN BUSINESS RELATIONSHIPS OR TRANSACTIONS WITH THE CUSTOMER AND THE BENEFICIAL OWNER(S)¹

XTB S.A. as an obligated institution within the meaning of the provisions on counteracting money laundering and terrorist financing, is obliged to apply customer due diligence, including ongoing monitoring of clients business relations. Therefore, it asks for the following information:

IDENTIFICATION DATA	
Name and surname / Name of company	
Personal number / Date of Birth ² / TIN	

I hereby declare the source of wealth / source of funds that are involved in business relationships or transactions with the customer and the beneficial owner (**please complete both columns**)

Source of wealth of the customer and of the beneficial owner(s) It concerns the sources of all wealth.	Source of funds that are involved in business relationships or transactions with the customer and of the beneficial owner(s) It concerns the sources of the funds paid to the client's accounts maintained by XTB S.A.
☐ remuneration for work, bonuses, allowances and social benefits related to the employment related for work, bonuses, allowances and social benefits related to the employment relationship	☐ remuneration for work, bonuses, allowances and social benefits related to the employment related for work, bonuses, allowances and social benefits related to the employment relationship
☐ management contract	☐ management contract
☐ contract for specific work or order	☐ contract for specific work or order
☐ freelance profession	☐ freelance profession
☐ inherent or donation	☐ inherent or donation
☐ game of chance	☐ game of chance
☐ final and binding court decision, awarding e.g. compensation, redress or alimony	☐ final and binding court decision, awarding e.g. compensation, redress or alimony
☐ retirement and disability pensions or other social benefits	☐ retirement and disability pensions or other social benefits
☐ rental or sale of real estate, including income from the purchase and sale of real estate	☐ rental or sale of real estate, including income from the purchase and sale of real estate
☐ sale of antiques, jewellery or works of art	☐ sale of antiques, jewellery or works of art
☐ credit or loan	☐ credit or loan
☐ investment fund, bank deposit or bonds	☐ investment fund, bank deposit or bonds
☐ profits from CFDs, CFD Shares, ETF CFDs, Synthetic Shares, ETFs, options, income from the sale of securities, shares, stocks and the exercise of rights arising from them	☐ profits from CFDs, CFD Shares, ETF CFDs, Synthetic Shares, ETFs, options, income from the sale of securities, shares, stocks and the exercise of rights arising from them
☐ independent economic activity	☐ independent economic activity



☐ contracts for business components (e.g. rental, lease, sale)	☐ contracts for business components (e.g. rental, lease, sale)
☐ funds from the financing of the beneficiary owner	☐ funds from the financing of the beneficiary owner
☐ commission or interest	☐ commission or interest
☐ royalty	☐ royalty
☐ income from the sale of intellectual property rights, including patents	☐ income from the sale of intellectual property rights, including patents
☐ franchise income	☐ franchise income
☐ others, please indicate:	☐ others, please indicate:

¹ Beneficial Owner, BO – means any natural person exercising direct or indirect control over the Client by way of held rights which arise from legal or factual circumstances and allow exerting decisive influence on acts or actions taken by the Client, or any natural person on behalf of whom commercial relations are established or the Occasional transaction is concluded, including the following:

- a. for a legal person other than a company the securities of which are approved for trading on a regulated market subject to the requirements of disclosing information which arise from the provisions of the European Union law or to equivalent provisions of the law governing in a third country:
 - a natural person who is a shareholder and who is eligible for the ownership title to over 25% of the overall number of shares of that legal person,
 - a natural person who holds over 25% of the total voting power in the decision-making body of this legal person, also in the capacity of the² Please enter date of birth in the case if the identification number has not been assigned. pledgee or user or under arrangements made with other voting right holders,
 - a natural person exercising control over a legal person or legal persons who are jointly eligible for the ownership title to over 25% of the overall number of shares or who, overall, holds over 25% of the total voting power in the decision-making body of this legal person, also in the capacity of the pledgee or user or under arrangements made with other voting right holders,
 - a natural person exercising control over the legal person by holding the rights specified in Art. 3 section 1 Point 37 of the Accounting Act of 29 September 1994 (Journal of Laws of 2021, item 217), or
 - a natural person on a higher managerial position if it is documented that it is not possible to determine the identity of or if there are doubts as to that identity of the natural persons specified in the first to fourth indent and if suspicions of money laundering or terrorist financing are not determined,
- b. for a trust:
 - founder,
 - trustee,
 - supervisor, if appointed,
 - beneficiary or - where the natural persons benefiting from the trust have not yet been identified - the group of persons in whose principal interest the trust has been established or operates,
 - other person controlling the trust,
 - another natural person having powers or performing duties equivalent to those set out in the first to fifth indents,
- c. for a natural person pursuing business activity towards whom no features or circumstances have been found that could indicate that it is controlled by another natural person or other natural persons, it is assumed that such a natural person is simultaneously the Beneficial Owner.

Date and signature